

Premia UK Services Company Limited – Regulatory Compliance Specialist

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| Overview | Technical specialist that is familiar with the PRA and FCA Handbooks, with reviewing and summarising PRA and FCA relevant publications and with making regulatory (including SM & CR) applications. Recent experience of carrying out compliance monitoring reviews would also be useful. |
| Key responsibilities (for inclusion in job description) | <ul style="list-style-type: none"> • Alignment of Policies across Premia UK Regulated entities • Preparation of regulatory applications and returns as required (including waivers, SM & CR) • Responding to queries on regulatory requirements • Delivery of Compliance Monitoring plan – Companies markets (one review per quarter) • Review and produce summary of regulatory publications with required actions |
| Skills & experience profile | <ul style="list-style-type: none"> • Experience of preparing regulatory applications using the FCA Connect and Regdata systems • Recent experience of reviewing, updating and consolidating Compliance Policies • Experience of reviewing and summarising PRA and FCA publications • Compliance Monitoring experience - interviews, preparation of written reports |
| Company's values | <ul style="list-style-type: none"> • Bold “We are ambitious and passionate about our future” • Expert “We use our specialisms and expertise to get things done” • Innovative “We embrace change and continually seek to improve the way we do business” • Accountable “We are outcome focused and deliver on promises” • Honourable “We act with integrity and aim to do the right thing” • Inclusive “We encourage participation and value all contributions” |